Universal Plans: One Action Sequence to Solve Them All!

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Abstract. This paper introduces the notion of a universal plan, which when executed, is guaranteed to solve all planning problems in a category, regardless of the obstacles, initial state, and goal set. Such plans are specified as a deterministic sequence of actions that are blindly applied without any sensor feedback. Thus, they can be considered as pure exploration in a reinforcement learning context, and we show that with basic memory requirements, they even yield asymptotically optimal plans. Building upon results in number theory and theory of automata, we provide universal plans both for discrete and continuous (motion) planning and prove their (semi)completeness. The concepts are applied and illustrated through simulation studies, and several directions for future research are sketched.

Keywords: planning algorithms, motion planning, discrete planning, normal numbers, maze searching, graph exploration

1 Introduction

A planning algorithm typically takes as input a robot movement model, environment model (obstacles), initial state, and goal state (or states), and must compute a plan that would bring the robot from the initial to the goal while avoiding obstacles. Suppose we are in a discrete-time, predictable setting, in which case a plan is a sequence of actions. Consider varying the initial and goal states. We naturally expect the planning algorithm to produce different action sequences for different planning instances, and report failure when it is impossible.

What would happen if we demand that a single action sequence must work for a set of several instances? Clearly, a plan could easily fail by changing the initial state. However, we could make plans more robust by assuming an action 'does nothing' if the robot is about to step into an obstacle. Under this setting, we raise the seemingly absurd question: Can a single action sequence solve all

^{*} This work was supported by a European Research Council Advanced Grant (ERC AdG, ILLUSIVE: Foundations of Perception Engineering, 101020977). (e-mail: firstname.lastname@oulu.fi).

planning instances? In this paper, we introduce infinite action sequences, each of which solves all solvable planning instances under the assumption that only the movement model is fixed. The obstacles, initial, and goal are allowed to vary. We call such an action sequence that solves all problems a *universal plan*. The existence of a universal plan implies that a planning algorithm could be trivialized by merely ignoring the input model and reporting the same action sequence every time! Even stranger, we provide conditions under which a universal plan generates asymptotically optimal trajectories for any planning instance to which it is applied.

Random walks, and randomization in general, are related; however, we want deterministic guarantees that the problem will be solved. This is significantly harder to ensure. Thus, we want to explore the completeness of such a solution, without resorting to the weaker notion of probabilistic completeness. Furthermore, unless an external physical process is used for entropy production [27,42], so-called 'random' sequences are merely produced by deterministic pseudorandom number generators in practice. Realizing this, why not consider other deterministic sequences, some of which may be more suitable for planning?

Why should we care about universal plans? At the very least, they improve our understanding of planning algorithms, especially the notions of completeness and asymptotic optimality, which have been argued for decades as important, desirable properties of a planning algorithm. This study also helps to clarify the role of randomization in planning [1,5,6,23]; in light of our work, it seems that the *complexity* of a random sequence is more important than its probabilistic properties. Thus, we can focus more on optimizing sequences that have sufficient complexity, rather than worry about their probabilistic properties or interpretations.

Another reason to study universal plans is for the exploration component of "exploration vs. exploitation" for machine learning applied to robot systems. Reinforcement learning requires that a sufficiently rich collection of state-action-state triples. A universal plan could be an ideal exploration strategy, which is ultimately optimized for a policy that achieves lower costs (higher rewards) via exploiting the parts already visited.

2 Universal Plans

The question of the existence of universal plans can be approached through the following two interrelated questions:

- Q1: For an environment X and a goal state $x_G \in X$, can we characterize the set of finite plans that take the robot from any initial state to the goal state?
- Q2: Is there a deterministic process that produces an infinite action sequence \tilde{u} that is guaranteed to take the robot from any initial state x_I in any environment X to any goal state $x_G \in X$?

For Q1, asymptotic upper and lower bounds for the length of universal sequences have been established in the context of graph exploration [1,12,4]. It is

also meaningful to ask, given the set of all such plans for a given environment X, what other environments X' share the same set of plans? In other words, in what sense do sets of robust, feasible plans characterize the environments for which they succeed? This could be seen as an analogue, in the absence of a feedback loop between the agent and its environment, of the Good Regulator Theorem in control theory [20].

Our main focus is to address question Q2. In Section 3 we interpret the robot grid search problem as a deterministic finite automaton (DFA), and apply existing results in automata theory in the planning context. In Section 4 we leverage these results for planning in continuous environments.

2.1 Normal and Rich Sequences

In his seminal 1909 paper [11], Borel defined normal numbers [3,15,29], whose decimal expansion satisfies a certain uniformity property: a real numbers x is normal in base b, if every length-k sequence of symbols $0,1,\ldots,b-1$ occurs in the base-b expansion of x with the asymptotic frequency b^{-k} . We also say that such a decimal expansion is a normal sequence. Every normal number is irrational, and it is known that Lebesgue-almost all reals are in fact normal [11]. Furthermore, almost every 'truly random' (Chaitin random) number is normal [16,32]. However, despite a century of efforts, basic questions about normal numbers remain unanswered. It is not known, for example, whether household irrationals such as π , $\sqrt{2}$, and $\ln 2$ are normal in any base. The construction of concrete examples of normal numbers is likewise challenging, although much progress in this direction has been made [17,21,40]. In particular, algorithms exist for computing normal numbers in nearly linear time [33]. Perhaps the most famous example of a normal number is Champernowne's number, obtained by concatenating the decimal expressions of all natural numbers into an infinite sequence [17].

A related notion is that of a *rich number*, for which the decimal expansion is a *rich* (or *disjunctive*) sequence. This means that every finite word in the alphabet $\{1, 2, \ldots, b\}$ occurs in the decimal expansion at least once (and thus infinitely orten) [19]. Hence, every rich sequence is normal, but the converse is not generally true. The study of the properties of rich and normal numbers connects such separate fields as number theory [8], Kolmogorov complexity [32,41], automata theory [7] and mathematical logic [19,25].

From the perspective of universality, richness guarantees the sampling of all possible finite action sequences, when individual symbols/numerals are interpreted as robot actions. A pivotal aspect of this approach turns out to be the way in which richness or normality of a sequence is preserved when the sequence is further split into disjoint subsequences. This is a delicate problem in its own right, although many results are known [8,9,28,43]. In our construction of a universal scale-free search plan in Section 4.2 we rely on deterministic selection rules to guarantee the normality of subsequences. However, we also implemented a dynamic (non-oblivious) selection rule, which outperformed the deterministic one in our numerical experiments.

2.2 Basic concepts and notation

Following notation in [30], consider a discrete feasible planning problem expressed in terms of: 1) a nonempty state space X, 2) a nonempty action space U(x) for every $x \in X$, 3) a state transition function f that yields some $f(x, u) \in X$ for every $x \in X$ and $u \in U(x)$, 4) an initial state $x_I \in X$, and 5) a nonempty goal set $X_G \subset X$.

A plan is a sequence of actions $\tilde{u} = (u_1, \dots, u_K)$, which are designed to be applied in succession from stage 1 to stage K. Initially, $x_1 = x_I$. Then, $u_1 \in U(x_1)$ is applied to obtain $x_2 = f(x_1, u_1)$. This process repeats until $x_{K+1} = f(x_K, u_K)$ is reached. A successful plan is one in which $x_{K+1} \in X_G$. When indicating the final state after executing a sequence of actions \tilde{u} , we sometimes use the notation $x \cap \tilde{u} := f(\dots f(f(x, u_1), u_2) \dots, u_K) = x_{K+1}$.

It will be convenient to consider plans that do not have a fixed length K. Instead, an infinite plan $\tilde{u} = (u_1, u_2, \ldots)$ may be defined. This may be written as a mapping $\tilde{u} : \mathbb{N} \to U$, in which $\mathbb{N} = \{1, 2, \ldots\}$ is the set of natural numbers and $U = \bigcup_{x \in X} U(x)$ refers to the union of all possible state-dependent actions. For an infinite plan to be successful, we merely require that there exists some stage $k \in \mathbb{N}$ for which $x_k \in X_G$. During execution, the robot may have a goal detector, which reports that $x_k \in X_G$, thereby terminating the plan (rather than running forever).

Let $P = (X, U, f, x_I, X_G)$ refer to a planning instance, which would ordinarily correspond to the input to a planning algorithm. Let \mathcal{P} refer to a set of planning instances. A plan \tilde{u} is called universal with respect to a set \mathcal{P} if it is a successful plan for all $P \in \mathcal{P}$. Thus, our quest is to find fixed plans that are successful for the largest possible \mathcal{P} .

Consider some possibilities for \mathcal{P} . Let $\mathcal{P}(X,U,f)$ be the set of all possible planning instances given X, U, and f. This could correspond to a fixed set of obstacles, but any $x_I \in X$ and nonempty $X_G \subset X$ are allowed. Let $\mathcal{P}(X,U)$ denote the set of all planning instances by allowing any valid f, x_I , and X_G . Let $\mathcal{P}(X,U,F)$ denote the set of all planning instances generated by allowing any $f \in F$, in which F is a set of state transition functions. Section 3 will define F to correspond to the set of all finite, 2D grid-based planning problems.

3 Universal Plans for Grid Search Problems

Maze search is a classical problem in the intersection of robotics and theoretical computer science [10,13,14,18,31]. It can be viewed as a restricted case of the more general graph search problem [22,24], applied to finite, connected planar graphs with maximum degree four. Two complementary interpretations of the problem have been explored in the literature, namely, (i) constructing a representation of an unknown graph environment from sensory feedback [37,38], and

¹ Each action u_k is chosen from the appropriate set U(x), where x is the state that is reached from x_I by applying the action sequence (u_1, \ldots, u_{k-1}) .

(ii) identifying sufficient properties of the robot-environment coupling that ensure a priori the complete exploration of the graph even in the case of minimal sensing [10,18]. We explore the limits of this second viewpoint when sensing is removed completely.

3.1 The Robot Grid Search Problem

In this section, we define the discrete robot grid search planning problem and establish the existence of universal plans for such problems.

Definition 1 (Connected Grid). A subset $Z \subset \mathbb{Z} \times \mathbb{Z}$ is connected, if for any two points $z_1, z_2 \in Z$ there exists some n-tuple $(x_1, \ldots, x_n) \subset Z$ for which $x_1 = z_1, x_n = z_2$ and $|x_{k+1} - x_k| = 1$ for all $k = 1, \ldots, n-1$.

We now formally define the robot grid search problem. The actions available to the robot, {up, down, left, right} are identified with the corresponding unit-length vectors $\{v_1, v_r, v_u, v_d\} := \{(-1,0), (1,0), (0,1), (0,-1)\}$. When using a rich/normal number $\alpha = \alpha_1 \alpha_2 \dots$ in some base b to generate action sequences, we convert the digits α_k to corresponding actions via a mapping $c: \mathcal{B} \to U$, where $\mathcal{B} = \{0 \mid \dots, b-1 \mid \}$. We call the sequence $(c(\alpha_n))_{n=1}^{\infty}$ a rich/normal plan.

Definition 2 (Robot grid search problem). A robot grid search problem is a planning problem $P_X = (X, U, f, x_I, x_G)$, in which $X \subset \mathbb{Z} \times \mathbb{Z}$ is a finite, connected environment, $U = \{v_l, v_r, v_u, v_d\}$, the initial and goal states are $x_I, x_G \in X$, respectively, and the transition function is given by

$$f(x,u) = \begin{cases} x+u, & \text{if } x+u \in X, \\ x, & \text{if } x+u \notin X. \end{cases}$$
 (1)

We denote by $\mathcal{P}_{grid}(\mathbb{Z} \times \mathbb{Z})$ the collection of all robot grid search problems.

The interpretation of (1) is that if the robot is trying to move through the environment boundary, it will stay put. Note that the robot is assumed to be sensorless – it will not know whether it moved after trying to execute an action.

We begin by noting that, formally, our definition of a robot grid search problem coincides with that of a *deterministic finite automaton (DFA)*.

Definition 3 (Deterministic Finite Automaton). A deterministic finite automaton is a 5-tuple $(Q, \Sigma, \delta, q_0, F)$, where Q is a finite set of states, Σ is a finite set called alphabet, δ is the transition function, $q_0 \in Q$ is the start state, and $F \subset Q$ is the set of accept states.

By identifying the set of automaton states Q with the environment (maze or graph) X, and the set of inputs Σ with the set U of robot actions, we may interpret search problems for finite mazes and graphs as DFA exploration problems.

We begin by introducing the concept of an essential class which captures the asymptotic behaviour of rich action sequences for a planning problem. The definition is equivalent to [19, Definition 4.1].

Definition 4 (Reachable state; Essential class). Let P_X be a planning problem and $x, x' \in X$. Then x' is reachable from state x in P_X , if there is an action sequence \tilde{u} such that $f(x, \tilde{u}) = x'$. A maximal set $S \subset X$ of states all reachable from each other in X is called an essential class of P_X .

An essential class thus corresponds to a strongly connected planning problem (subautomaton) $P_S = (S, U, f)$. If P_X is strongly connected in the first place, meaning that every state is reachable from every other state, then S = X is the only essential class for the planning problem.

Generally, for any $P_X \in \mathcal{P}_{grid}(\mathbb{Z} \times \mathbb{Z})$ and any $x \in X$, there exists some action sequence \tilde{u} for which $x \cap \tilde{u} \in \text{is in an essential class [19, Proposition 4.2]}$. From this we obtain the following result ([19, Lemma 4.3]):

Proposition 5 (Rich plans converge to essential classes (Compton)). Let $P_X = (X, U, f, x_I)$ be a planning problem with a finite state space X, and let $(c(\alpha_n))_{n=1}^{\infty}$ be a rich plan corresponding to some rich number α . Then there exists some essential class S and $N \in \mathbb{N}$, for which $x_I^{-}(c(\alpha_1), \ldots, c(\alpha_n)) \in S$ for all $n \geq N$, and the robot will visit every $x \in S$ infinitely many times.

Lemma 6 (Essential class of finite trajectories). Let $P_X = (X, U, f, x_I) \in \mathcal{P}_{grid}(\mathbb{Z} \times \mathbb{Z})$ and for each $k \in \mathbb{N}$ let $\widehat{X}^k := (X \times U^k, U, \widehat{f}, (x_I, (u_1, \dots, u_k)))$ be a DFA where $\widehat{f}((x, (u_1, \dots, u_k), u) := (f(x, u_1), (u_2, \dots, u_k, u))$. Then the collection $\widehat{A}^k := \{(x, (u_1, \dots, u_k)) \mid x \in X, (u_1, \dots, u_k) \in U^k\}$ is an essential class for \widehat{X}^k .

Proof. The claim follows immediately from the fact that every robot grid search problem is strongly connected.

We immediately obtain the following result.

Corollary 7 (Rich plan tries every action sequence from every state). In every $P_X \in \mathcal{P}_{grid}(\mathbb{Z} \times \mathbb{Z})$ a robot following a rich plan \tilde{u} will apply every finite action sequence from every state $x \in X$ infinitely many times.

Proof. Let \widehat{X}^k be the DFA in Lemma 6. According to Proposition 5, the automaton \widehat{X}^k will visit every state $(x,(u_1,\ldots,u_k))\in \widehat{A}^k$ infinitely many times. This is clearly equivalent with the robot visiting every state $x\in X$ and applying the action sequence (u_1,\ldots,u_k) immediately afterwards.

A strong form of a universal plan for a fixed robot grid search problem is that of a synchronizing sequence [26,44].² An action sequence \tilde{u} synchronizes a planning problem $P_X \in \mathcal{P}_{grid}(\mathbb{Z} \times \mathbb{Z})$, if there exists some $x_F \in X$ for which $x_I^{\frown} \tilde{u} = x_F$ for all initial states x_I . We say that P_X is synchronizing, if some \tilde{u} synchronizes it. In a synchronizing planning problem, uncertainty about the current state of the robot can in principle be reduced by applying a single action sequence, and it has found useful applications [34,35].

² The related notion of *homing sequences* [39] concerns the possibility of inferring the final state of an automaton after applying an action sequence, based on both the actions and observations.

Lemma 8 (Robot grid search problems are pairwise synchronizing). Let $(X, f, U) \in \mathcal{P}_{grid}(\mathbb{Z} \times \mathbb{Z})$. Then for all $x_I, x_I' \in X$, there exists a finite action sequence \tilde{u} for which $x_I^{\frown} \tilde{u} = x_I' ^{\frown} \tilde{u}$.

Proof. See the supplementary material.

It is straightforward to show that every pairwise synchronizing planning problem is synchronizing [39, Theorem 1.14]. Thus, every robot grid search problem is synchronizing.

4 Universal Plans for Continuous Environments

In this section we consider collections of planning problems in which a point robot explores a compact, connected, planar state space $X \subset \mathbb{R}^2$ with non-empty interior. The aim is to reach an open r-neighborhood $X_G := B_r(x_G) \cap \operatorname{int}(X)$ around some goal state $x_G \in \operatorname{int} X$, from the initial state $x_I \in \operatorname{int} X$. We refer to r here as the goal radius. We show that there exists a universal plan that allows the robot to solve this task essentially without sensing, in any environment X with suitably regular boundary, for any $x_I, x_G \in \operatorname{int} X$ and any r > 0.

Assume the robot is able to take arbitrarily small steps in all the coordinate directions (left, right, up, and down). The set of actions U is thus given by

$$U := \{ sv \mid s \in \mathbb{R}_+, \ v \in \{v_{l}, v_{r}, v_{u}, v_{d} \} \}, \tag{2}$$

where $\{v_1, v_r, v_u, v_d\} := \{(-1, 0), (1, 0), (0, 1), (0, -1)\}$. The transition function $f: X \times U \to X$ is given by

$$f(x,u) := \begin{cases} x+u, & \text{if } x+u \in X, \\ x, & \text{if } x+u \notin X. \end{cases}$$
 (3)

Thus, the robot does not move if it is about to hit the environment boundary.

Assume that the step size of the robot is fixed to some s > 0. This means that the robot is confined to moving on a grid of resolution s. If this resolution is too coarse, the robot may be unable to pass through narrow corridors to reach the goal, or it might miss the target area $B_r(x_G)$ around the goal state. Before presenting our construction for a universal plan in Section 4.3, we analyze the existence of sufficiently fine step sizes for a given planar planning problem.

4.1 Finite Discretizations for Planar Planning Problems

Denote by $C^{\circ}(\mathbb{R}^2)$ the collection of connected, compact subsets of \mathbb{R}^2 with nonempty interior. To analyze the sufficiency of the movement resolution, we associate with every triple $(X, x_I, m) \in C^{\circ}(\mathbb{R}^2) \times \text{int} X \times \mathbb{N}$ the corresponding grid

$$X_{\text{grid}}(x_I, m) := \text{int} X \cap (x_I + 2^{-m}(\mathbb{Z} \times \mathbb{Z})). \tag{4}$$

Intuitively, the grid $X_{\text{grid}}(x_I, m)$ is a discretization of X at resolution 2^{-m} . Ideally, such a grid is connected in the sense of Definition 1, allowing the robot to get close to the goal state from any initial state x_I . However, this is not generally the case.

Definition 9 (Globally connected grid representation; sufficient scaling factor). An environment $X \in \mathcal{C}^{\circ}(\mathbb{R}^2)$ admits a globally connected grid representation (GCGR), if there exists some $\eta(X) \in \mathbb{N}$ such that for all $m \geq \eta(X)$ and all initial states $x_I \in X$, the grid discretization $X_{grid}(x_I, m)$ defined in (4) is connected. We then say that $\eta(X)$ is a sufficient scaling factor for X.

A natural step would be to characterize all the environments that admit a GCGR. Proposition 18 in Section 4.2 states that all environments whose complement is a set with positive reach admit a GCGR. For example, environments with a differentiable boundary with bounded curvature satisfy this condition. Unfortunately, not all polygonal environments admit such a representation, since they may exhibit sharp wedges where initial states near the tip of the wedge require increasingly fine grid scaling to obtain connectedness.

Let $\mathcal{P}_{\text{global}}^m$ be the set of planning problems $P = (X, U, f, x_I, x_G)$ in which the environment X admits a GCGR with a sufficient scaling factor m, and let $\mathcal{P}_{\text{global}} := \bigcup_{m=1}^{\infty} \mathcal{P}_{\text{global}}^m$. In Section 4.2 we show that there exist plans \tilde{u} that are universal with respect to $\mathcal{P}_{\text{global}}$. To this end, we next analyze, for a fixed $X \in \mathcal{C}^{\circ}(\mathbb{R}^2)$ and $m \in \mathbb{N}$, the set

$$\mathcal{G}(X,m) := \{ X_{\text{grid}}(x_I, m) \mid x_I \in \text{int} X \}$$
 (5)

of the grid representations (4) associated with all possible initial states $x_I \in X$. The key observation is that although there are uncountably many possible initial positions $x_I \in \text{int} X$, once the resolution m is fixed, each of them corresponds to a position on a finite grid belonging to a finite family of grids, each one approximating X at this resolution.

Lemma 10 (Finitely many grids). Let $X \in C^{\circ}(\mathbb{R}^2)$. Then for each $m \in \mathbb{N}$, the set $\mathcal{G}(X,m)$ in (5) contains up to translation only finitely many unique grids, which all have finitely many states. If X admits a GCGR and $m \geq \eta(X)$, all the grids in $\mathcal{G}(X,m)$ are connected in the sense of Definition 1.

Proof. Fix $m \in \mathbb{N}$. For each initial state $x_I \in X$ consider the translation defined by $T_{x_I}(x) := x - x_I$. Note that X has finite width and height $W, H \in \mathbb{R}_+$ since it is compact. It follows that $T_{x_I}(\text{int}X) \subset [-W,W] \times [-H,H]$ for all $x_I \in X$. The first claim then follows from the fact that the power set of the intersection $2^{-m}(\mathbb{Z} \times \mathbb{Z}) \cap [-W,W] \times [-H,H]$ is finite, and the observation that the sets $X_{\text{grid}}(x_I,m)$ and $T_{x_I}(X)$ are in one-to-one correspondence via T_{x_I} . The last claim regarding connectedness follows directly from the definition of the sufficient scaling factor $\eta(X)$. \square

Two grids $X_{\text{grid}}(x_I, m)$, $X_{\text{grid}}(x_I', m)$ are translation equivalent if there exists some translation T_z for which $X_{\text{grid}}(x_I, m) = T_z(X_{\text{grid}}(x_I', m))$. We then write

$$X_{\text{grid}}(x_I, m) \sim_T X_{\text{grid}}(x_I', m).$$
 (6)

Note that we do not require in the above definition that $x_I = T_z(x_I')$. Having this in mind, we say that two states $x, x' \in X$ are grid-equivalent at resolution

 $m \in \mathbb{N}$, $x_I \sim_E x_I'$, if the translation implied by (6) can be chosen to be x' - x:

$$x \sim_E x' \iff X_{\text{grid}}(x, m) = T_{x'-x}(X_{\text{grid}}(x', m)).$$
 (7)

The individual grids $X_{\text{grid}}(x_I, m) \in \mathcal{G}(X, m)$ can thus be divided into finitely many equivalence classes $\left[X_{\text{grid}}(x_I, m)\right]_{\sim_T} \in \mathcal{G}(X, m)/\sim_T$, and to each initial state $x_I \in X$ we may associate the equivalence class $\left[x_I\right]_{\sim_E} \in \left[X_{\text{grid}}(x_I, m)\right]_{\sim_T}$. As the robot moves using a particular step size, it effectively explores the equivalence class $\left[X_{\text{grid}}(x_I, m)\right]_{\sim_T}$ from the initial state $\left[x_I\right]_{\sim_E}$. We now clarify when such explorations can be regarded equivalent as planning problems.

Definition 11 (Isomorphic planning problems). Planning problems $P := (X, U, f, x_I, X_G)$ and $P' := (X', U', f', x_I', X'_G)$ are isomorphic if there exist bijective mappings $\varphi : X \to X'$ and $\xi : U \to U'$ for which (i) $\varphi(x_I) = x_I'$, (ii) $\varphi(X_G) = X'_G$, and (iii) $f'(\varphi(x), \xi(u)) = \varphi(f(x, u))$ for all $x \in X$ and $u \in U$.

An isomorphism preserves the structure that is relevant for goal attainment. For instance, it can be readily checked that some action sequence \tilde{u} satisfies $x_I \tilde{u} \in X_G$ if and only if $x_I' \tilde{\chi}(\tilde{u}) \in X_G'$.

Consider a planning problem $(X, U, f, x_I, x_G) \in \mathcal{P}_{global}$, so that X admits a GCGR, and let r > 0 be the goal radius. For any $X_{grid}(x_I, m) \in \mathcal{G}(X, m)$ with $m \geq \eta(X)$, define the corresponding discretized goal set by

$$x_G(x_I, m) := B_r(x_G) \cap (x_I + 2^{-m}(\mathbb{Z} \times \mathbb{Z})). \tag{8}$$

It follows from the connectedness of $X_{\text{grid}}(x_I, m)$ that for sufficiently large m the set $x_G(x_I, m)$ is non-empty for any initial state x_I . Consider then the family $\mathcal{P}_{\text{grid}}(X, x_G, m) := \{P_{\text{grid}}(X, x_I, x_G, m) \mid x_I \in X\}$ of discretized planning problems, where, for each $x_I \in X$,

$$P_{\text{grid}}(X, x_I, x_G, m) := (X_{\text{grid}}(x_I, m), U, f, x_I, x_G(x_I, m)). \tag{9}$$

Definition 12. Let $X \in \mathcal{C}^{\circ}(\mathbb{R}^2)$, assume X admits a GCGR and let r > 0. For any initial conditions $x_I, x_I' \in X$, the corresponding discretized planning problems $P_{grid}(X, x_I, x_G, m), P_{grid}(X, x_I', x_G, m) \in \mathcal{P}_{grid}(X, x_G, m)$ are said to be goal-equivalent, denoted $P_{grid}(X, x_I, x_G, m) \sim_G P_{grid}(X, x_I', x_G, m)$, if there exists some translation $z \in \mathbb{R}^2$ for which

$$X_{grid}(x_I', m) = T_z(X_{grid}(x_I, m)), \quad and \quad x_G(x_I', m) = T_z(x_G(x_I, m)). \tag{10}$$

In other words, the two grids are translation equivalent and the goal set $x_G(x_I, m)$ maps to $x_G(x_I', m)$ under the same translation.

If (10) holds with $z = x_I' - x_I$ so that the initial states are grid-equivalent, we say that the planning problems are grid search-equivalent. This is denoted by $P_{qrid}(X, x_I, x_G, m) \sim_S P_{qrid}(X, x_I', x_G, m)$.

Note that goal-equivalent planning problems are generally not isomorphic in the sense of Definition 11 because their initial states may differ, and thus affect the set of successful plans. However, it can be readily checked that the maps $\varphi = T_{x_I'-x_I}$ and $\xi = \text{Id}$ satisfy the properties stated in Definition 11, and thus define an isomorphism between grid search-equivalent planning problems.

Proposition 13. Assume $X \in C^{\circ}(\mathbb{R}^2)$ admits a GCGR, and let r > 0. Then for each $m \geq \eta(X)$, the set $\mathcal{P}_{grid}(X, x_G, n)$ contains only finitely many non-isomorphic planning problems.

Proof. According to Lemma 10, the set of equivalence classes $[X_{\rm grid}(x_I,m)]_{\sim_T}$ is finite. Moreover, each representative of such a class has finitely many states. Then clearly there are, for a fixed grid equivalence class $[X_{\rm grid}(x_I,m)]_{\sim_T}$ only finitely many possible combinations between equivalence classes $[x'_I]_E \neq [x_I]_E$ of alternative initial states $x'_I \in X_{\rm grid}(x'_I,m)$ with $X_{\rm grid}(x'_I,m) \sim_T X_{\rm grid}(x_I,m)$, and the corresponding sets $\{[x]_E \mid x \in x_G(x'_I,m)\}$ of equivalence classes corresponding to the elements of the associated discretized goal sets. \square

4.2 A Scale-free Action Sequence

In this section we construct a universal plan for the family of planning problems $\mathcal{P}_{\text{global}}$, defined in Section 4.1. The problem seems challenging at first, given that there are uncountably many environments $X \subset \mathbb{R}^2$ for which the plan must apply. Our approach is to reduce each planning problem in $\mathcal{P}_{\text{global}}$ to a corresponding robot grid search problem $\mathcal{P}_{\text{grid}}(\mathbb{Z} \times \mathbb{Z})$, and show how these can be sampled in an effective way to cover the whole family $\mathcal{P}_{\text{global}}$.

Assume the robot has access to a normal sequence $\alpha_1\alpha_2\ldots$ in base 4, which can be used to decide the direction and step size of the next action. We restrict the step size to the countable set $A:=\{2^{-m}\mid m\in\mathbb{N}\}$. The step sizes could be chosen either (i) by specifying some rule $\gamma:\mathbb{N}\to A$ for choosing the sizes independently of the α_k , or (ii) by specifying some rule $\xi:\{0,1,2,3\}\to A$ that determines for each $k\in\mathbb{N}$ the next step size $\xi(\alpha_k)$ from the current decimal α_k . We present a provably universal plan using approach (i). In Section 6 we also experiment with an alternative plan that uses approach (ii), and empirically outperforms the first approach, but we have not proved its universality.

For w > 0, define the mapping $L_w : \mathbb{N} \to \mathbb{N}$ recursively by $L_w(1) := 1$, and

$$L_w(n) := \lceil w(L_w(1) + \dots + L_w(n-1)) \rceil$$
 (11)

for all $n \geq 2$. Each $L_w(n)$ represents the number of steps the robot takes before the next change in step size. The weight w indicates the ratio of how many steps the robot takes using a particular step size relative to how many steps it has taken in total up to that point. We next define the order in which different step sizes will be chosen during the exploration. Define $\beta_n := \max \left\{k \mid k(k+1)/2 \leq n\right\}$ and $\varphi(n) := n - \beta_n(\beta_n + 1)/2$. For instance, $(\beta_n)_{n=1}^9 = (1, 1, 2, 2, 2, 3, 3, 3, 3)$ and $\varphi(\beta_1, \ldots, \beta_9) = (0, 1, 0, 1, 2, 0, 1, 2, 3)$. The algorithm thus samples different step sizes by starting repeatedly from $1 = 2^0$ and proceeding to smaller and smaller step sizes 2^{-m} . Then define $\eta_w(n) := \max \left\{k \mid \sum_{j=1}^k L_w(k) \leq n\right\}$, and let $c : \{1, 2, 3, 4\} \to \{v_l, v_r, v_u, v_d\}$ map the normal number digits to the corresponding unit-length steps. The function $\gamma_{\alpha,w} : \mathbb{N} \to \mathbb{N}$ that gives the complete action sequence, sampling from the normal sequence $\alpha_1\alpha_2\alpha_3\ldots$, is then given by

$$\gamma_{\alpha,w}(n) := 2^{-(\varphi \circ \eta_w)(n)} c(\alpha_n). \tag{12}$$

Intuitively, $\eta_w(n)$ gives the index in the step size alteration scheme that the robot is using at step n, and the mapping φ converts that index to the appropriate power $m \in \mathbb{N}$, which is fed to the exponential function 2^{-m} , and multiplied by the next unit-length action $c(\alpha_n)$. The robot uses all the step sizes 2^{-m} infinitely many times, and immediately before each switch in step size, it will have moved with the current step size a proportion w of its total prior exploration time.

4.3 Proof of Universality of the Scale-free Plan

Consider some planning problem $P = (X, U, f, x_I, x_G) \in \mathcal{P}_{global}$, so that the environment $X \in \mathcal{C}^{\circ}(\mathbb{R}^2)$ admits a GCGR, see Definition 9. Using the algorithm described above, the robot starts to explore the environment along the grid $X_{grid}(x_I, 0)$. Unless it immediately moves to within the required goal radius from the goal state x_G , it will switch to the next finer step size 2^{-1} and continue. As the robot traverses using finer step sizes and again reverts back to coarser ones, it effectively changes the planning problem from one grid $X_{grid}(x_I, m)$ to another $X_{grid}(x_I', m')$, where in general both the grid resolution m' and the initial state x_I' have changed.

However, according to Lemma 10 there exist for each step size 2^{-m} only finitely many different grids $\mathcal{G}(X, x_I, m)$. In addition, since X admits a GCGR, there exists some $\eta(X)$ for which each grid $X_{\text{grid}}(x_I, m)$ with $m \geq \eta(X)$ is connected. From this it follows that for all large enough $m > \eta(X)$, the grid $X_{\text{grid}}(x_I, m)$ intersects the open set $B_r(x_G) \cap \text{int} X$. If the robot knew to stop switching the step size once it has reached one of these grids, it would eventually reach some $x \in B_r(x_G) \cap X_{\text{grid}}(x_I, m)$ in finite time according to Corollary 7 Fortunately, it turns out that it is sufficient for the robot to keep indefinitely revisiting some step size 2^{-m} with $m \geq \eta(X)$.

Theorem 14 (Universal Planar Search Plan). For every normal sequence $\alpha_1\alpha_2\alpha_3...$ and w>0, the scale-free search plan $\gamma_{\alpha,w}$ given in (12) is universal with respect to the family of planning problems \mathcal{P}_{global} , for any associated goal radius r>0.

Before proving the theorem itself, we establish a final auxiliary result. It states that the subsequences $(\alpha_{n_k})_{k=1}^{\infty}$ associated with robot actions $\gamma_{\alpha,w}(n_k)$ that take place within some set [P] of goal-equivalent discretized planning problems $P \in \mathcal{P}_{\text{grid}}(X, x_G, m)$ are themselves normal sequences. By this we refer to situations where the robot resumes moving with a particular step size 2^{-m} , and has possibly switched to a different equivalence class $[x]_E$ in terms of its current discretized state, but still explores a discretized planning problem P' that belongs to the same equivalence class $[P] \in \mathcal{P}_{\text{grid}}(X, x_G, m) / \sim_G$.

For this, we need to introduce the concept of a *completely deterministic sequence* [9,8,46]. The combinatorial definition below has been shown to be equivalent to the measure theoretic definition [46, Definition 8.8] which was used in [28] to establish the full characterization of selection rules that preserve normality.

Definition 15 (Completely deterministic sequence (Weiss)). A binary sequence (selection rule) $(\omega_n)_{n=1}^{\infty}$ is completely deterministic if and only if for any $\varepsilon > 0$ there is some K such that after removing from $(\omega_n)_{n=1}^{\infty}$ a subset of density less than ε , what is left can be covered by a collection C of K-blocks such that $|C| < 2^{\varepsilon K}$. An increasing sequence $(n_k)_{k=1}^{\infty}$ of positive integers is completely deterministic if its indicator sequence $(\omega_n)_{n=1}^{\infty}$ is completely deterministic.

Above, the indicator sequence $(\omega_n)_{n=1}^{\infty} \in \{0,1\}^{\mathbb{N}}$ of a sequence $(n_k)_{k=1}^{\infty}$ is defined by setting $\omega_n = 1$ if and only if $n = n_k$ for some $k \in \mathbb{N}$.

Lemma 16 (Step size subsequences are completely deterministic). For each $m \in \mathbb{N}$ and w > 0, let $(n_k)_{k=1}^{\infty}$ be the increasing sequence of stages for which $(\varphi \circ \eta_w)(n_k) = m$, i.e. the robot uses the step size 2^{-m} precisely at stages n_k . Then $(n_k)_{k=1}^{\infty}$ is completely deterministic in the sense of Definition 15.

Proof. It follows from the definition of the sets $L_w(n)$ in (11) that asymptotically the sequence $(\omega_n)_{n=1}^{\infty}$ contains longer and longer streaks of 0s, separated by longer and longer streaks of 1s. Thus, for a fixed $K \in \mathbb{N}$, the only length-K sequences (K-blocks) that appear infinitely often in $(\omega_n)_{n=1}^{\infty}$ consist either of a streak of 0s of length K-j followed by a streak of 1s of length j, or vice versa, where j runs through $0, \ldots, K$. For K=3 the possible sequences are $\{000, 001, 011, 111, 110, 100\}$. The number of sequences of length-K that appear infinitely many times in $(\omega_n)_{n=1}^{\infty}$ is thus 2K for any K.

Let then $\varepsilon > 0$ and choose K to be such that $(1 + \log_2 K)/K < \varepsilon$, from which it follows that $2K < 2^{\varepsilon K}$. Now, let \mathcal{C} be the set of all length-K sequences that appear in $(\omega_n)_{n=1}^{\infty}$ infinitely many times. From the above reasoning, $|\mathcal{C}| = 2K$. According to (11), the length of the alternating blocks of 1s and 0s in $(\omega_n)_{n=1}^{\infty}$ will exceed K from index n = K/w onwards. After this, the entire sequence can be covered using only sequences in \mathcal{C} . Since the finite initial segment before n = K/w has asymptotic density zero, the result follows. \square

A sequence $(n_k)_{k=1}^{\infty}$ preserves normality, if for every normal sequence $(\alpha_n)_{n=1}^{\infty}$, the subsequence $(\alpha_{n_k})_{k=1}^{\infty}$ is also normal. Before presenting the proof of Theorem 14, we restate the central characterization result due to Kamae [28] and Weiss [45] as given in [9]:

Theorem 17 (Admissible selection rules (Kamae, Weiss)). An increasing sequence of positive integers $(n_k)_{k=1}^{\infty}$ preserves normality if and only if it is a completely deterministic sequence of positive lower asymptotic density.

Equipped with Theorem 17, we are set to prove our main result, Theorem 14.

Proof (Theorem 14). We first show that given a particular planning problem $P(X, U, f, x_I, x_G) \in \mathcal{P}_{global}$, we can associate with every $m \geq \eta(X)$ a finite sequence $\tilde{u}(m)$ that is universal with respect to the family $\mathcal{P}_{grid}(X, x_G, m)$ of discretized planning problems. Let $m \geq \eta(X)$. Proposition 13 states that there are only finitely many, say M, equivalence classes $[P_k]_{\sim_S} \in \mathcal{P}_{grid}(X, x_G, m) / \sim_S$. Within a class, a plan successful for P_k is successful for all $P \sim_S P_k$. According

to Corollary 7, we may choose for each $[P_k]_{\sim_S}$ some plan $\tilde{u}^{(k)} = (u_1^{(k)}, \dots, u_{n_k}^{(k)})$ which succeeds from any initial state $x_I' \in \operatorname{int} X$ satisfying $P_{\operatorname{grid}}(X, x_I', x_G, m) \sim_S P_k$. Hence, $\tilde{u}(m) := \tilde{u}^{(1)} \cap \cdots \cap \tilde{u}^{(M)}$ is successful for any $P \in \mathcal{P}_{\operatorname{grid}}(X, x_G, m)$.

We now show that for all $m \in \mathbb{N}$, the robot will eventually execute the plan $\tilde{u}(m)$ using only steps of size 2^{-m} . According to Lemma 16, the subsequence $(n_k)_{n=1}^{\infty}$ defined by $(\varphi \circ \eta_w)(n_k) = m$ is completely deterministic, and it has lower asymptotic density w by definition. This, together with Theorem 17 implies that the corresponding subsequence $(\alpha_{n_k})_{k=1}^{\infty}$ is normal. This in turn implies that $\tilde{u}(m)$ appears in the sequence $(c(\alpha_{n_k}))_{k=1}^{\infty}$ with relative frequency equal to all other blocks of the same length. Assume then, contrary to the claim, that the robot never executes the whole sequence $\tilde{u}(m) = (u_1, \ldots, u_{l(m)})$ while taking steps of size 2^{-m} . However, from (11) it follows that the lengths of continuous blocks in the sequence $(n_k)_{k=1}^{\infty}$ monotonically increase without bounds. This implies that the indices k for which $\tilde{u}(m) = (c(a_k), \ldots, c(a_{k+l(m)}))$ must always lie within l(m) from the end of such blocks. But this implies that the lower asymptic density of the sequence $\tilde{u}(m)$ is zero, which is a contradiction. \square

4.4 Characterizing Environments that Admit GCGR

Here we provide a simple sufficient property which guarantees that a given environment X admits a GCGR. These are sets $X \in \mathbb{R}^2$ whose complement $\mathbb{R}^2 \setminus X$ is a set with *positive reach* [36]. Essentially, a set has positive reach if its boundary can be everywhere approximated locally by the surface of a ball with fixed radius r > 0. The boundary ∂X of such an environment could be a smooth curve with bounded curvature, or a union of polygons with no wedges.

Proposition 18 (Sufficient scaling factor independent of start state). Let $X \subset \mathbb{R}^2$ be a compact, connected environment whose complement is a set with positive reach, and let $x_G \in X$ be a goal state. Then, for every r > 0 there exists a scaling factor $s \in \mathbb{R}_+$ such that for all m > s and all initial states $x_I \in \text{int} X$, the grid $G(X, x_I, m) := \text{int} X \cap (x_I + 2^{-m}(\mathbb{Z} \times \mathbb{Z}))$ is connected and intersects the ball $B_r(x_G)$.

Proof. Let $p := \operatorname{reach}(\mathbb{R}^2 \setminus X)$ and let $s \in \mathbb{R}_+$ be such that $2^{-s} = \min\{p, r\}/4$. Then choose any $s < m \in \mathbb{N}$ and consider the subgrid

$$V(X, x_I, m) := G(X, x_I, m) \cap X_{p/2},$$

in which $X_{p/2} := X \setminus \overline{B_{p/2}(\mathbb{R}^2 \setminus X)}$. This set consists of the grid points that are further than p/2 from the boundary ∂X . Since X is connected, it follows that $V(X, x_I, m)$ is a connected grid, but it might be the case that $x_I \notin V(X, x_I, m)$.

We now show that every $z \in G(X, x_I, m)$ can be connected to $V(X, x_I, m)$ along a chain of adjacent points in $G(X, x_I, m)$, from which the claim follows. Let $z(m) := \operatorname{argmin}_{p \in \overline{X_{p/2}}} \operatorname{dist}(p, z)$. It follows then from $z(m) \in \overline{X_{p/2}}$ that $B_{p/2}(z(m)) \subset \operatorname{int} X$. Furthermore, $z \in \operatorname{int} X$ implies that $z \in B_{p/2}(z(m))$. Since $2^{-m} < 2^{-s} \le p/4$, it follows that the subgrid

$$W_z := B_{p/2}(z(m)) \cap (x_I + 2^{-m}(\mathbb{Z} \times \mathbb{Z}))$$

is connected and satisfies $z \in W_z$ and $W_z \cap V(X, x_I, m) \neq \emptyset$, as required. \square

5 Learning Optimal Plans

Clearly, action sequences generated from universal plans are far from optimal. In this section, we briefly sketch how executing a universal plan can nevertheless produce optimal plans. We would like the robot to record the shortest possible sequence of actions that brings it from x_{init} to X_{goal} . We expect the following to generalize to optimality for any stage-additive cost model with minor modifications.

Suppose the robot can detect initial and goal states. Let $h_{init}: X \to \{0, 1\}$ be an *initial-state detector*, which yields h(x) = 1 if and only if $x = x_{init}$. Similarly, let $h_{qoal}: X \to \{0, 1\}$ be a goal detector with h(x) = 1 if and only if $x \in X_{qoal}$.

Proposition 19. For a robot with initial and goal state detectors and unlimited memory, an algorithm exists for which any universal plan causes the robot to learn the optimal plan after a finite number of steps.

Proof. An anytime algorithm that returns the optimal plan is produced as follows. It maintains the shortest action sequence that starts and ends at x_{init} and X_{goal} , respectively, that has been encountered so far. Initially, it is empty. In another buffer, if $h_{init} = 1$, then it starts recording the sequence of actions taken until either $h_{init} = 1$ again, in which case it resets the recording, or $h_{goal} = 1$. If the sequence in the buffer is shorter than the shortest one obtained so far, then it is kept as the shortest.

Since every finite-length sequence is visited in the action sequence, from every reachable state, the optimal plan (finite action subsequence) must be visited at some step. At this point, the robot has recorded the optimal plan. \Box

Note that the robot cannot ever report that the optimal plan has been learned. Only an external observer with complete information would know if the stored best plan so far happens to be optimal. Furthermore, it still cannot decide whether a problem is solvable. If not, the robot explores forever in vain.

6 Simulations

We have experimentally investigated the application of universal plans to three kinds of problems: 1) Grid world among obstacles, 2) classical mazes, and 3) planar path planning. Figure 1 illustrates some results. All experiments use base-4 digits of Champernowne's number (0123101112132021222330313233100101...) or π (30210033312222020...) for universal plans. Figure 1(a)–(c) shows executions for a grid-searching robot. For the first example, we ran 1000 trials, starting the π digit sequence at position 100000(i-1) for the ith trial. Large sequence starting indices were needed because trajectories generated from nearby offsets tend to quickly funnel together, decreasing diversity of executions. The average number

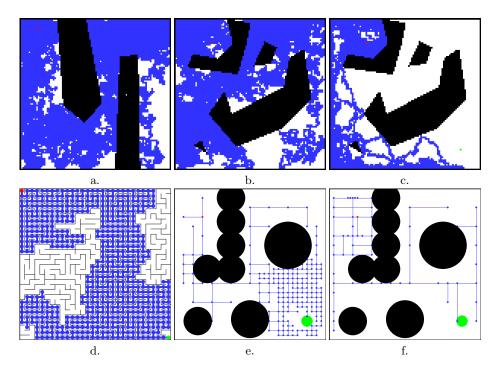


Fig. 1. Typical executions of plans believed to be universal: (a) Digits of π applied to search a 100x100 grid; 5548 of 7124 states were visited in 47785 total steps; all examples involve going from a red initial state in the upper left to a green goal state or region in the lower right. (b) Same plan as in (a); 5220 of 7128 states were visited in 48291 steps. (c) Applying Champernowne's number results in 11903745 steps; the progress after 50000 steps is shown. (d) Using π digits, starting at position 1200000, to search a maze in 213675 steps. (e) Using π digits starting at 12000000 to solve a continuous planning problem in 970 steps, using the proven method from Section 4.2. (f) An alternative method, which seems more efficient, but is not yet proven to be complete; digits of π starting with 3400000 were used.

of steps for the example in Figure 1(a) was 194,399, with a min and max of 10,549 and 997,595, respectively. The average, min, and max for the example in Figure 1(b) was 79,448, 5,368, and 463,764, respectively. Figure 1(c) shows the execution from alternatively applying Champernowne's number, which performs much worse than π . The average, min, and max after 1000 trials was 107,870.540, 3,745, and 179,099,807, respectively. We also ran 1000 trials on this example using pseudorandom actions, resulting in average, min, and max of 197,329, 10,495, and 1,220,295, with performance comparable to using π digits.

Figure 1(d) shows a maze-searching study, again using π digits with offsets and 1000 trials. The resulting average, min, and max number of steps were 309,448, 28,460, and 2,419,499. Figure 1(e) shows a typical result from applying the method of Section 4.2 with w=1 to a 2D planning problem among disc

obstacles, to arrive in a disc goal region. After 1000 trials using π digits, the average, min, and max steps were 1,477.7, 38, and 65,809. Figure 1(f) a run of an alternative method, which yields average, min, and max steps 741.7, 36, and 4,166. The method proceeds as follows. The initial step size is chosen to be half the environment width. In each iteration, two π digits are used. One selects the direction, and the other selects whether to halve or double the step size (keeping the initial size as a limit). Digits 0, 1, and 2 cause it to be doubled, and 3 causes it to be halved, including a bias toward larger steps. Although the method seems more efficient, we have not proved that it is universal (but conjecture that it is).

We tried various experiments with digits of other everyday irrationals than π , including e, $\ln 2$, $\sqrt{2}$, and the golden ratio; their performance is generally comparable to using π digits. However, Champernowne is generally much worse, as may be expected because it is not strongly normal, as defined in [2]. Thus, it seems that the naturally occurring irrational numbers that are widely believed to be normal have better performance than the 'hand-made' Champernowne number, but the latter is at least proved to be normal [3]. This results in a performance gap between using numbers proven to be normal versus believed to be normal!

7 Conclusions

We have thus shown that a planning algorithm may output a fixed plan, regardless of the input problem, and the algorithm will nevertheless be complete in the sense that the plan will bring the robot to the goal if it is possible. It generally is not, however, able to decide whether it is feasible to reach the goal because no computation is performed over an input representation. Our results are formulated for discrete grid planning and motion planning in a 2D freespace with regular boundary (positive reach), both of which were confirmed in simulation studies. However, with only slight modifications, the proofs apply to any finite dimensional state space, in both the discrete and continuous case. Several challenging open problems exist, including proving that the method in Figure 1(f) is a universal plan, developing improved universal motion plans, universal plans under differential constraints, universal plans under information feedback, asymptotic optimality in continuous spaces, and tight upper and lower bounds on time and space complexity. We believe the insights gained in this work will be valuable for machine learning, especially in exploration phases of reinforcement learning, as well as motion planning, error detection and recovery, operating in GPS-denied environments, and system verification.

Acknowledgments We would like to thank our colleagues Başak Sakçak, Nicoletta Prencipe, Tuomas Orponen, and Vadim Weinstein for many fruitful discussions and suggestions during the process of writing this paper.

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